

## Report Status (as at 28 February 2022)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
<b>Fundamental / High</b>				
Effective	People and Communities – Commissioning & Procurement (cf. 2020/21)			Draft
	Resources – Income and Debtors			Issued
	Main Accounting			
	Risk Based Verification (cf. 2020/21)			
	Housing Rents			
	Fraud, Bribery and Corruption			
Effective with opportunity for improvement	Resources - Health and Safety (cf. 2020/21)			Drafts
	Social Services – Income and Debtors (cf. 2020/21)			Issued
	Adults, Housing and Communities - Pre-Contract Assurance			
	Cradle to grave audit			
	Purchasing Cards			
	Whistleblowing Processes			
	Corporate Performance Management			
	Payroll & HR – In Year-Testing			
	Wellbeing of Future Generations (cf. 2020/21)			
	Creditor Payments in-Year Testing (cf. 2020/21)			
	PTE – Income and Debtors			
	Council Tax (cf. 2020/21)			
	Housing Benefit – Local Housing Allowance – Council Tax Reduction Scheme (cf. 2020/21)			
	IT Business Continuity (cf. 2020/21)			
	Education - SOP - Review of Band B			
	Directorate Risk Management (cf. 2020/21)			
	Disciplinary Policy			
	Special Leave			
	Treasury Management			
	Governance & Legal Services – Pre-Contract Assurance			
Taxation (cf. 2020/21)				
Programme and projects risk management (cf. 2020/21)				

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
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Insufficient with major improvement needed	Mileage and Subsistence ( <i>cf. 2020/21</i> )	1		Drafts
	Economic Development – Pre-Contract Assurance	1		Issued
	PTE – Pre-Contract Assurance	1		
	Establishment Reviews			
	Payment Card Industry (chip and pin devices) ( <i>cf. 2020/21</i> )			
	Cardiff Bus – Governance relationship with Cardiff Council ( <i>cf. 2020/21</i> )	1	1	
	Education – Asset Management ( <i>cf. 2020/21</i> )	1	1	
	Contract Monitoring and Management ( <i>cf. 2020/21</i> )			
	Education & LL – Pre-Contract Assurance	1	1	
<b>Medium</b>				
Effective with opportunity for improvement	Social Services – Child Health and Disability (CHAD)			Draft
	Follow Up - Contracts in Waste Management ( <i>cf. 2020/21</i> )			
	Audit of Scrutiny Functions ( <i>cf. 2020/21</i> )			
	Members' Remuneration and Allowances			
	Follow Up - St Peters R.C Primary ( <i>cf. 2020/21</i> )	2	2	
	Homelessness - Temporary Accommodation			
	Libraries			
	Marlborough Primary School			
	Mary Immaculate High School			
	British Council Grants - Follow up			
	Glamorgan Archives			
	Bilingual Cardiff			
	Concessionary Travel			
Insufficient with major improvement needed	Children's Placements ( <i>cf. 2020/21</i> )	1		Drafts
	Dominions Way Temporary Stores ( <i>cf. 2020/21</i> )	2		Issued
	Waste Collections	1	1	
	Gatehouse ( <i>cf. 2020/21</i> )	2	2	
	Follow up – Fly Tipping ( <i>cf. 2020/21</i> )	2	2	
	Recycling in HWRCs ( <i>cf. 2020/21</i> )	1	1	
	St. Cuthberts ( <i>cf. 2020/21</i> )	4	4	

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	Joint Equipment Service	1	1	
	Payments to Care Leavers	2	2	
<b>Unsatisfactory</b>	Cardiff West Community High School	6	6	
<b>Grants / Accounts / External Bodies</b>				
<b>No assurance opinion given</b>	Joint Committees - Prosiect Gwyrdd	Statement of Accounts Reviews / Certification / Support		
	Joint Committees - Port Health Authority			
	Joint Committees - Glamorgan Archives			
	Rumourless Cities Grant			
	Norwegian Church 2020/21			
	Cardiff Further Education Trust Fund 2020/21			
	Education Improvement Grant 2020/21			
<b>Effective with opportunity for improvement</b>	Education Improvement Grant 2019/20 (cf. 2020/21)			Draft Issued
	Norwegian Church 2019/20 (cf. 2020/21)			
	City Deal 2020/21			
<b>Other assignments</b>				
<b>No assurance opinion given</b>	People and Communities - Pre-Contract Assurance	No recent contact awards – assurance to be obtained via wider audits under portfolio of Corporate Director		Pending wider audits
	Service and Process Consultancy – Welsh Government Grants	compliance, control and counter-fraud guidance		
	Purchasing and Payments - CRSA	Control Risk Self-Assessment (CRSA)		
	Payroll & HR - CRSA			
	National Fraud Initiative	Data matching counter-fraud exercise		