

## Appendix 1:

### Summary: Progress against External Regulatory Body Programme January 2022

2020-21 Performance Audit Work							
Audit Wales Inspections							
Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)
<b>Financial Sustainability Assessment</b>	An assessment undertaken as financial sustainability continues to be a risk to councils putting in place proper arrangements to secure value for money in the use of resources.	Report issued August 2021	Recommendations	N/A	3	N/A	Open: 1 Closed: 2
			Green	N/A	3		
			Amber	N/A	0		
			Red	N/A	0		
Her Majesty's Inspectorate of Prisons (HMIP)							
<b>HMIP Inspection of Youth Offending Services</b>	This inspection is part of a four-year programme of youth offending service (YOS) inspections. Cardiff YOS inspected and rated across three broad areas: the arrangements for organisational delivery of the service, the quality of work done with children sentenced by the courts, and the quality of out-of-court disposal work.	Report issued July 2020	Recommendations	12	12	Open: 7 Closed: 5	Open: 7 Closed: 5
			Green	10	11		
			Amber	2	1		
			Red	0	0		

Care Inspectorate Wales (CIW)							
Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)
<b>Risk Based Inspection of Cardiff Council Social Services</b>	This risk based inspection was informed by concerns laid out in our letter to the Director of Social Services in 2019-2020. We reviewed how well children's social services has progressed on its journey of improvement, and how well social services continue to help and support adults and children.	Report issued February 2021	Recommendations	N/A	17	N/A	Open: 14 Closed: 3
			Green	N/A	N/A		
			Amber	N/A	N/A		
			Red	N/A	N/A		
<b>2019-20 Performance Audit Work</b>							
<b>Audit Wales Inspections</b>							
Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)
<b>Review of Leisure Services</b>	Examination of the extent to which the Council has acted in accordance with the sustainable development principle when taking steps to meet its Priority for 2019-20 to Support Sports, Leisure, Culture and Green Spaces.	Final report issued November 2020	Recommendations:	6	6	Open: 6 Closed: 0	Open: 6 Closed: 0
			Green	6	6		
			Amber	0	0		
			Red	0	0		
	A project common to all local councils that will assess		Recommendations:	2	2	Open: 0 Closed: 2	Open: 0 Closed: 2
			Green	2	2		

<b>Financial Sustainability Assessment</b>	financial sustainability in light of current and anticipated future challenges.	Final report issued 3 <sup>rd</sup> April 2020.	<b>Amber</b>	0	0		
			<b>Red</b>	0	0		
<b>2018-19 Performance Audit Work</b>							
<b>Audit Wales Inspections</b>							
<b>Title</b>	<b>Overview</b>	<b>Status</b>		<b>Position July 2021 (RAG)</b>	<b>Position January 2022 (RAG)</b>	<b>Position July 2021 (Open/Closed)</b>	<b>Position Jan 2022 (Open/Closed)</b>
<b>Review of Corporate safeguarding arrangements of Children</b>	Review of the effectiveness of corporate safeguarding arrangements building on the study previously undertaken by the Auditor General in this area.	Final report issued 27 <sup>th</sup> June 2019  Presented to CYP Scrutiny Committee 24 <sup>th</sup> September 2019	Recommendations:	9	9	Open: 8 Closed: 1	Open: 5 Closed: 4
			<b>Green</b>	1	4		
			<b>Amber</b>	8	5		
			<b>Red</b>	0	0		
<b>Well-being of Future Generations Examination - Transport and Clean Air Vision</b>	Examination of the extent to which the Council has acted in accordance with the sustainable development principles when taking the following step: "Develop and launch a new Transport and Clean Air Vision for the City" which sits under the Council's Well-being objective of 'Cardiff grows in a resilient way'.	Final report issued 22 <sup>nd</sup> July 2019	Recommendations:	15	15	Open: 1 Closed: 14	Open: 1 Closed: 14
			<b>Green</b>	15	15		
			<b>Amber</b>	0	0		
			<b>Red</b>	0	0		
<b>Delivering with Less - Environmental</b>	Review of the arrangements the Council has put in place to deliver environmental health	Final report issued 17 <sup>th</sup>	Recommendations:	9	9	Open: 7 Closed: 2	Open: 7 Closed: 2

<b>Health Services</b>	services building on the study previously undertaken by the Auditor General as part of the 'delivering with less' themed studies. The review will seek to answer the question: Is the Council's environmental health service continuing to deliver its statutory obligations given the financial challenges?	September 2019.	<b>Green</b>	5	5		
			<b>Amber</b>	4	4		
			<b>Red</b>	0	0		
<b>Review of the arrangements to support the Capital Ambition Delivery Programme</b>	Review to consider whether the Council's arrangements to support the delivery of its four-year 'Capital Ambition' Delivery Programme enabling it to address its key financial and organisational challenges?	Final report issues 29 <sup>th</sup> April 2019	Recommendations:	3	3	Open: 0 Closed: 3	Open: 0 Closed: 3
			<b>Green</b>	3	3		
			<b>Amber</b>	0	0		
			<b>Red</b>	0	0		
<b>2017-18 Performance Audit Work</b>							
<b>Audit Wales Inspections</b>							
<b>Title</b>	<b>Overview</b>	<b>Status</b>		<b>Position July 2021 (RAG)</b>	<b>Position January 2022 (RAG)</b>	<b>Position July 2021 (Open/Closed)</b>	<b>Position Jan 2022 (Open/Closed)</b>
<b>Scrutiny: Fit for the Future</b>	National review that explored arrangements for scrutiny in all 22 local authorities.	Final report issued July 2018	Recommendations:	5	5	Open: 0 Closed: 5	Open: 0 Closed: 5
			<b>Green</b>	5	5		
			<b>Amber</b>	0	0		
			<b>Red</b>	0	0		

## Progress

Date	Total Recommendations	Total Open	Total Closed	% Open
January 2022	64	27	37	42%
July 2021	61	29	32	47%
January 2021	61	46	15	76%

Date	Total Recommendations	Green	Amber	Red
January 2022	64	54	10	0
January 2021	61	38	22	1

\*Excludes recommendations from Care Inspectorate Wales Risk report.