

Appendix A – Presentation Slides



Audit Committee 23rd November 2021 – Andrew Gregory Director PTE



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Contents

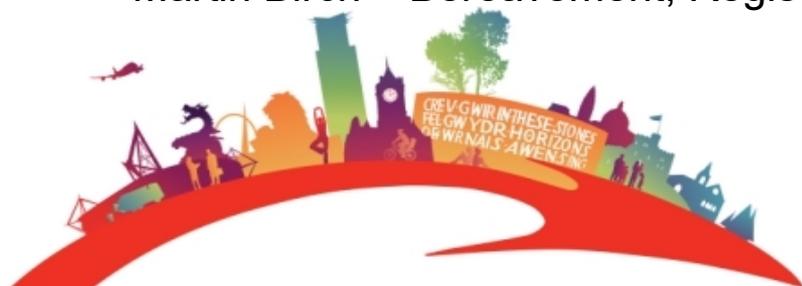
- Directorate Team outline
- Key Priorities and Remit
- Risks Management
- Internal Audit Assurance
- Performance Management
- Partnership & Collaboration
- Senior Management Assurance Statement Review
- External Assurance
- Value for Money



Directorate Teams



- Andrew Gregory – Director, Planning, Transport & Environment
- Matt Wakelam - Assistant Director, Street Scene
- Paul Carter - Transport Policy and Delivery
- Simon Gilbert - Planning
- Gary Brown - Highways Infrastructure and Operations
- Gareth Harcombe - Energy & Sustainability
- Jason Bale – Clean Air
- Martin Birch – Bereavement, Registration and Cardiff Dogs Home



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Key Priorities and Remit



Directorate has an extensive remit with the Council's Corporate Plan:

Crucial part to play in ensuring Wellbeing Objective 6 is accomplished -
“Cardiff Grows in a Resilient Way”

Six Corporate Priorities to deliver on:

- Delivering One Planet Cardiff to decarbonise the city and lead a green recovery
- Transforming Cardiff's public transport and active travel systems
- Putting sustainability and well-being at the heart of the city's growth
- Building resilience into our highway network
- Enhancing Cardiff's flood defences
- Investing in community facilities



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Key Priorities and Remit (cont)



Hugely important Corporate Plan Steps (31 objectives) to deliver on and implement, including for example:

- One Planet Cardiff Strategy
- Ensuring good air quality
- Strategic transport project delivery
- Active Travel Planning
- Review of the Local Development Plan
- Deliver programmes of resurfacing and patching
- Coastal defence improvements
- Bereavement Services Strategy enhancements

Corporate Steps have been planned and firmed up with timescales, milestones and owners identified, progress is well underway and being reported via SMT and Cabinet



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Risk Management



Key risks include (see Appendices B & C for full detail)

Corporate (high level risks):

- Climate Change
- Air Quality
- Coastal Erosion

Directorate (operational risks)

- Highway investment levels
- Metro delivery
- Financial savings
- City centre transport improvements



Internal Audit Assurance



Audit	Report Status	Assurance Rating	Outstanding Actions	Completed Actions	Total Actions	Implementation Status
2021/22						
Income and Debtors – PTE	Final	Effective with opportunity for improvement	3	1	4	1 action due 31/12/21 and 2 due 31/03/22
2020/21						
Programme and Project Governance	Final	Effective with opportunity for improvement	0	3	3	All actions in place
Bereavement Services	Final	Insufficient with major improvement needed	3	29	32	1 action due 31/12/21 and 2 due 01/04/22
Commissioning and Procurement-PTE	Final	Effective with opportunity for improvement	4	1	5	3 actions due 31/01/22 and 1 due 31/03/22
PTE - Asset Management - 2019-20	Final	Insufficient with major improvement needed	2	4	6	1 due 01/06/22 and 1 due 31/03/23
2019/20						
Health & Safety Audit	Final	Effective with opportunity for improvement	0	8	8	Completed
Building Control	Final	Effective with opportunity for improvement	1	5	6	1 action due 31/08/22
Total			13	51	64	



Performance Management



Well embedded Performance Management Framework in place and being adhered to:

Quarterly monitoring and review process in place for reporting on progress of delivery of Corporate Steps and Measures (KPIs)

Robust governance and assurance in place for reviewing and reporting:

- RAG rating by owners of Corporate Steps and Measures
- Progress, issues and mitigations included in review and reporting
- Outcomes challenged by director and performance team
- Feedback from corporate planning team acted upon
- Reports to SMT, Cabinet and Scrutiny with director interface



Partnership & Collaboration



Examples have been provided in the main body of the Audit Committee Report of Partnerships, Collaborations and how these are governed, these relate to:

- Transport White Paper
- Clean Air
- Planning

The partnerships and collaborations linked to the above helps to put the directorate in a strong position to deliver key programmes and pieces of work, indeed this allows us to become more resilient, mitigate risks, fill skill and resource gaps, enrich delivery and reap relevant benefits



Senior Management Assurance Statement Review



Half Year Review undertaken in November 21 by Operational Managers, SMAS Champion and Director, including evidence for each statement

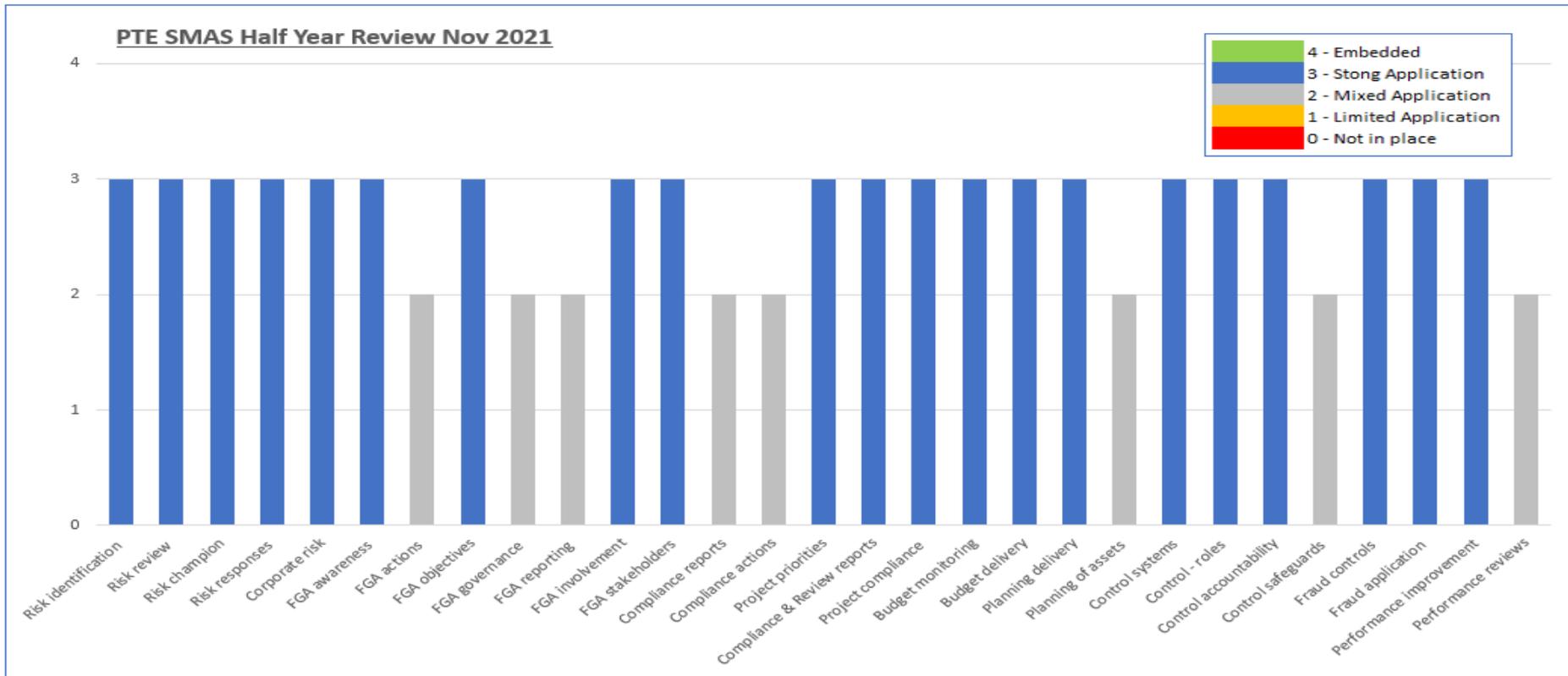
Vast majority of statement reviews rated as “Strong Application”

“Mixed Application” ratings and areas for improvement used to develop Directorate SMAS Action Plan, including opportunities for improvement, owners and timescales for completion – reviews to be undertaken at DMT meetings

Chart on following slide portrays outcome across all statements



Senior Management Assurance Statement Review



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Making for Cardiff a better place to live

External Assurance



Two key external assessments have been undertaken in the directorate over the past 18 months:

Audit Wales: Well-being of Future Generations Examination – covering the Vision for Transport and Clean Air in Cardiff

- 5 Areas of Development and 15 Management Responses (actions) were raised, of which 14 have been closed down, 1 is ongoing

British Standards Institute: Strategic Review Assessment on Highways element of ISO 9001:2015 Quality Management System Standard (highway electrical apparatus, barrier repair, highway infrastructure maintenance and road traffic management operations)

- No non-conformities or observations raised, accreditation successfully retained



Value for Money



The directorate has itemised a number of Value for Money areas in the main body of the Audit Committee Report, some examples are as follows:

- Introduction of Residential LED Streetlighting to reduce costs
- Achieving cost-neutral planning services
- Solar Farm at Lamby Way for clean and renewable energy supply
- Hybrid mail solution in CPE to reduce printing costs
- Bereavement Services achieving exceptional customer satisfaction





Questions



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