

Audit Committee Work Programme 2020-21

1. Current Work Programme 2020/21:

Area	Tuesday 28.07.20 at 2pm (Remote Meeting)	Tuesday 08.09.20 at 2pm (Remote Meeting)	Tuesday 20.10.20 Special Audit Committee Meeting	Tuesday 17.11.20 at 2pm (Remote Meeting)	Tuesday 26.01.21 at 4.30pm (Remote Meeting)	Tuesday 23.03.21 at 2pm (Remote Meeting)	Tuesday 08.06.21 at 2pm - TBC
Audit Wales (AW)		Draft Statement of Accounts 2019/20 for Cardiff Council including; Cardiff Harbour Authority and Trust Funds	Audit of Accounts Reports (ISA 260) For Financial Year 2019/20		Annual Audit Summary Report <i>(formerly known as Annual Improvement Report)</i>	Annual Audit Plan 2021	
		Draft Statement of Accounts 2019/20 for Cardiff & Vale of Glamorgan Pension Fund				Cardiff & Vale Pension Fund Audit Plan 2021	
	AW Activity/Report Progress Update	AW Activity/Report Progress Update			AW Activity/Report Progress Update	AW Activity/Report Progress Update	AW Activity/Report Progress Update
Treasury Management		Performance Report		Performance Report	Performance Report	Performance Report	Performance Report
		Annual Report		Half Year Report	Draft Strategy 2021/22	Treasury Management Practices	
Finance	Financial Update including Resilience Issues	Financial Update including Resilience Issues		Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues
		Draft Statement of Accounts 2019/20		Financial Sustainability of Local Government as a Result of the COVID-19 Pandemic: AW Report & Cardiff Council Management Response		Statement of Accounts 2020/21: Accounting Policies and Timescales	Draft Statement of Accounts 2020/21 (including the AGS)
				Commercialisation in Local Government: AW Report & Cardiff Council Management Response			
Internal Audit	Audit and Investigation Team - Progress Update			Audit and Investigation Team - Progress Update	Audit and Investigation Team - Progress Update	Audit and Investigation Team - Progress Update	Audit and Investigation Team - Progress Update
	Internal Audit Annual Report 2019/20	Counter-Fraud Annual Report 2019/20			Draft Audit Charter and Draft Summary Audit Plan 2021/22	Audit Charter and Audit Plan 2021/22	Internal Audit Annual Report 2020-21
		Counter-Fraud AW National Report: 'Raising Our Game - Tackling Fraud in Wales' – & Cardiff Council Management Response		Draft Anti-Money Laundering Policy	Draft Monitoring Employees at Work Policy		
Governance and Risk Management	Audit Committee Annual Report 2019/20					Draft Audit Committee Self-Assessment Feedback/Action Plan	Audit Committee Annual Report 2020/21
	Draft Annual Governance Statement 2019/20					Draft Annual Governance Statement (AGS) 2020/21	
	Senior Management Assurance Statement Review 2019/20				Senior Management Assurance Statement and AGS Action Plan 20/21 (Mid-Year)		Senior Management Assurance Statement Review 20/21
	Corporate Risk Management Year-End			Corporate Risk Management 2020/21 (Mid-Year)	External Audit Recommendation Tracker	Corporate Risk Management (Quarter 3)	Corporate Risk Management (Year-End)
Operational Matters / Key Risks / Other				Ian Arundale – Leave of Absence Request	Sarah McGill - Update on Social Services Control Environment	TBC - Chief Executive – Update on the Council's Control Environment	TBC - Director – Update on Directorate Control Environment
				Audit Committee Meeting Times		TBC - Director – Update on Directorate Control Environment	

2. Matters to be addressed outside of formal Audit Committee meetings during 2020/21:

Audit Committee <ul style="list-style-type: none"> Annual self-assessment workshop (26.01.2021) Training and development sessions. 	General <ul style="list-style-type: none"> Correspondence, publications and reports for information purposes Time sensitive consultation. 	Director / Senior Officer Assurance <ul style="list-style-type: none"> Use of letters, correspondence, and video / telephone meetings as necessary.
---	--	---