

Report Status (as at 31 December 2020)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
<i>Fundamental / High</i>				
Effective	Payroll In-year Testing (2019/20 & 2020/21)			
	People and Communities – Commissioning & Procurement			
	Governance and Legal Services – Income and Debtors			
	Business Grants - Assurance			
	People and Communities - Health and Safety			
	Creditor Payments – in-year testing 2019/20			
	Codes of Conduct			
	Governance Arrangements 2020/21			
	Governance and Legal Services – Commissioning & Procurement			
Effective with opportunity for improvement	Ethics and Values - Business and Personal Interests			Drafts Issued
	Resources - Health and Safety			
	Programme and Project Risk Management			
	Directorate Risk Management			
	Corporate Landlord			
	Education - Commissioning and Procurement			
	Education – Income and Debtors			
	Social Services – Income and Debtors			
	Additional Payments (payroll & allowances)			
	People and Communities – Income and Debtors			
	Economic Development – Income and Debtors			
	Economic Development – Health and Safety			
	Governance Arrangements 2019/20			
	Economic Development – Commissioning & Procurement			
	Performance management			
Safeguarding - follow up				
Recruit				

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
	Complaints and Compliments			
	Pensions and Investment In-year testing			
	Resources – Commissioning & Procurement			
	PTE – Commissioning & Procurement			
	People and Communities – Asset Management			
	Social Services – Health and Safety			
	NNDR in-year testing			
	PTE - Health and Safety			
	Governance & Legal Services – Health and Safety			
Insufficient with major improvement needed	Direct Payments	2		Drafts Issued
	Education – Asset Management	1		
	Resources – Asset Management	1		
	Mileage and Subsistence	1		
	Econ Development - Asset Management	1	1	
	PTE - Asset Management	1	1	
	Street Scene (Waste Management) - Asset Management	1	1	
	Social Services - Asset Management	1	1	
	Waste Management – Health and Safety	1	1	
	Social Services – Commissioning & Procurement	1	1	
Medium				
Effective	Counter-fraud arrangements - Willows			Draft Issued
	Major Projects Governance			
	Digital Services - Virtual Agent			
Effective with opportunity for improvement	Digital Services - Hybrid Mail			Draft Issued
	Ysgol Plasmawr – ICT Governance			
	Audit of Scrutiny Functions			
	Learning Disabilities			
	Kitchener Primary - Counter-fraud arrangements			
	Follow Up - Contracts in Waste Management			
	Follow Up - Income Procedures in School Kitchens			

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
	Follow Up - Pontprennau Primary	2		
	Follow Up - St Peters R.C Primary			
	Programme and Project Governance			
	Income collection in schools – Cardiff High			
	Trolleys			
	Pentyrch Primary School			
	Lamby Way stores - follow up			
	Foster Carers			
Insufficient with major improvement needed	Domiciliary Care	1		Drafts Issued
	Fleet Management (pool cars, grey fleet, etc.)	1		
	Music Service - follow up	2		
	Gatehouse	2		
	Follow up – Fly Tipping	2		
	IT inventory and asset management	1	1	
	Film Unit	1	1	
	Bereavement	2	2	
Unsatisfactory	British Council Grants	7		Draft Issued
Grants / Accounts / External Bodies				
No assurance opinion given	Joint Committees - Prosiect Gwyrdd	Statement of Accounts Reviews / Certification / Support		
	Joint Committees - Port Health Authority			
	Joint Committees - Glamorgan Archives			
Effective with opportunity for improvement	Norwegian Church			Draft Issued
	Education Improvement Grant 2019/20			
	City Deal 2019/20			
Other assignments				
No assurance opinion given	New system checks - compliance, internal controls and counter-fraud	COVID-19 Consultancy		
	Resilience, continuity & control- Consultancy			
	New system checks - compliance, internal controls and counter-fraud			
	Private Rental Sector Leasing Scheme	Consultation & Guidance		

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
	Payroll CRSA	Control Risk Self Assessment		
	Creditor payments CRSA			
	Schools CRSA			