

## Report Status (as at 30 September 2020)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
<b><i>Fundamental / High</i></b>				
Effective	Governance and Legal Services – Commissioning & Procurement			Draft Issued
	Recruit			
	People and Communities - Health and Safety			
	Creditor Payments – in-year testing 2019/20			
	Codes of Conduct			
Effective with opportunity for improvement	Governance & Legal Services – Health and Safety			Drafts Issued
	Ethics and Values - Business and Personal Interests			
	NNDR in-year testing			
	Social Services – Health and Safety			
	PTE - Health and Safety			
	Health and Safety – Resources			
	Programme and Project Risk Management			
	Directorate Risk Management			
	Complaints and Compliments			
	Pensions and Investment In-year testing			
	Resources – Commissioning & Procurement			
	PTE – Commissioning & Procurement			
	People and Communities – Asset Management			
	Economic Development – Health and Safety			
	Governance Arrangements			
	Economic Development – Commissioning & Procurement			
	Performance management			
	Insufficient with major improvement needed	Safeguarding - follow up		
Direct Payments		2		
Waste Management – Health and Safety		1		
	Education – Asset Management	1		

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
	Resources – Asset Management	1		
	Social Services - Asset Management	1		
	Social Services – Commissioning & Procurement	1		
	Econ Development - Asset Management	1	1	
	PTE - Asset Management	1	1	
	Street Scene (Waste Management) - Asset Management	1	1	
<b>Medium</b>				
Effective	Counter-fraud arrangements - Willows			Draft Issued
	Digital Services - Virtual Agent			
	Major Projects Governance			
Effective with opportunity for improvement	Digital Services - Hybrid Mail			Draft Issued
	Ysgol Plasmawr – ICT Governance			
	Audit of Scrutiny Functions			
	Learning Disabilities			
	Kitchener Primary - Counter-fraud arrangements			
	Pentyrch Primary School			
	Lamby Way stores - follow up			
	Foster Carers			
	Trolleys			
	Income collection in schools – Cardiff High			
Insufficient with major improvement needed	Domiciliary Care	1		
	Fleet Management (pool cars, grey fleet, etc.)	1		
	Music Service - follow up	2		
	Bereavement	2		
	IT inventory and asset management	1	1	
	Film Unit	1	1	
<b>Grants / Accounts / External Bodies</b>				
No assurance opinion given	Norwegian Church	Statement of Accounts Reviews / Certification / Support		Draft Issued
	Joint Committees - Prosiect Gwyrdd			
	Joint Committees - Port Health Authority			

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	Joint Committees - Glamorgan Archives			
Effective with opportunity for improvement	City Deal 2019/20			Draft Issued
<b><i>Other assignments</i></b>				
No assurance opinion given	New system checks - compliance, internal controls and counter-fraud	COVID-19 Consultancy		
	Resilience, continuity & control- Consultancy			
	New system checks - compliance, internal controls and counter-fraud			
	Payroll CRSA	Control Risk Self Assessment		
	Creditor payments CRSA			