

Audit Committee Action Plan

Agenda Item 8

(Updated following meeting held on 28 July 2020)

Minute No. /Agenda No.	Actions	Timeline	Action Owner
Finance (Budget)			
Governance & Risk Management			
21.01.20	Audit Committee to receive information on the process and timescale for developing any guidance in relation to the Local Government and Elections (Wales) Bill, if and when they emerge.		
21.01.20	The Head of Finance to provide an aggregated action plan of the future generation significant governance issue responses from directorates.		IA
28.07.20	A future risk management update, to provide more information on the operation of risk management practices in the current environment.		IA
Audit Wales (AW)			
28.07.20	Audit Wales to provide a breakdown of the budgeted staffing levels and costs, which make up their audit fee for the Committee meeting on 8 September 2020, and for this to be included in the Annual Audit Plan report going forward.	08.09.20	
Internal Audit			
25.06.19	Audit Manager to meet with Corporate Communications Officers, to consider opportunities to communicate the outcomes of Internal Audit, and its work more widely.	Ongoing Discussions ongoing	CP
28.07.20	The Audit Manager to check the core cities comparative 'chargeable' benchmarking value, and to correct in the event of a typo.	Completed 05.08.20	CP
Treasury Management			
25.06.19	The Operational Manager, Capital, Corporate & Treasury to: <ul style="list-style-type: none"> • prepare a note for a future Audit Committee meeting on the nature of treasury management investments and risks, including the approach to ethical investments, and to; • consider ways of providing wider information and context in relation to borrowing activities in future treasury performance management reports to the Audit Committee. 	Ongoing Point 1 Actioned via the TM Strategy for 2020/21, and in the Benchmarking report in November 2019. Point 2 Actioned and ongoing.	AH

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Operational Items			
28.07.20	The recommendation raised in respect of coastal risk management to be closed; with no further follow up actions required at present.	Completed 28.07.20	
02.04.19	Once disciplinary procedures have concluded within the Waste Management Service, Audit Committee to be informed of the terms of reference and approach for delivering the associated Post Investigation Review.		
28.07.20	The report from the Director of PTE on the monitoring of particulate matter to be re-circulated, and Members to consider if any further information is required.	Completed 04.08.20	CP
28.07.20	Audit Committee to receive a confidential briefing in respect of 'fly tipping'.		CP
28.07.20	Audit Committee to engage with the Director of Education and Lifelong Learning as part of its work programme.		
Work Programme			
10.09.19	The Chair to consider the Audit Committee work programme with regards to receiving ongoing assurance in respect of Brexit.	Ongoing	IA
AW Tracker/Other Studies			
12.11.19	Audit Manager to request that, subject to report and meeting timings, management responses accompany the presentation of AW assessments in future Committee meetings.	Completed (Noted and ongoing)	CP
Outstanding Actions			
Scrutiny Letters			