

Audit Committee Action Plan

Agenda Item 9

(Updated following meeting held on 21 January 2020)

Minute No. /Agenda No.	Actions	Timeline	Action Owner
Finance (Budget)			
Governance & Risk Management			
21.01.20	Audit Committee to be provided with the WLGA response to Welsh Government re. the Local Government and Elections (Wales) Bill.	Completed 24.01.20	
21.01.20	Audit Committee to receive information on the process and timescale for developing any guidance in relation to the Local Government and Elections (Wales) Bill, if and when they emerge.		
21.01.20	Committee to receive a view from Legal Services on the scope for Ministers to act beyond the stated purpose of the LG and Elections (Wales) Act.	Completed Briefing sent to Members 04.02.20	
21.01.20	The Head of Finance to provide an aggregated action plan of the future generation significant governance issue responses from directorates.		
21.01.20	Audit Committee to consider progress against their coastal risk management recommendation, and their follow up / work programme requirements, as part of the self-assessment feedback and action plan agenda item in March 2020.	Ongoing The March 2020 Committee meeting was cancelled and the self –assessment output and action plan was incorporated into draft Audit Committee Annual Report.	
Audit Wales (AW)			
Internal Audit			
25.06.19	Audit Manager to meet with Corporate Communications Officers, to consider opportunities to communicate the outcomes of Internal Audit, and its work more widely.	Ongoing Discussions commenced in August 2019	CP
Treasury Management			
25.06.19	The Operational Manager, Capital, Corporate & Treasury to: <ul style="list-style-type: none"> • prepare a note for a future Audit Committee meeting on the nature of treasury management investments and risks, including the approach to ethical investments, and to; • consider ways of providing wider information and context in relation to borrowing activities in future treasury performance management reports to the Audit Committee. 	Ongoing Point 1 To deliver through TM overview report in November 2019, and TM Strategy 2020-21 in January 2020. Point 2 Ongoing	AH

Minute No. /Agenda No.	Actions	Timeline	Action Owner
12.11.19	Head of Finance to provide an action plan and management comments in response to the external report on Treasury Management.	Completed 21.01.20	IA
Operational Items			
22.01.19	<p>The Director of Planning, Transport and Environment is recommended to:</p> <ul style="list-style-type: none"> Review the resilience of local incident management plans, and develop specific plans for a flood event arising from the coastal erosion corporate risk; Develop an engagement strategy to communicate to those most at risk; Report progress to a future Audit Committee meeting. 	<p>Ongoing</p> <p>Interim updates emailed to Members 01 April 2019 and 03 June 19 and 30 October 19. Update provided in Committee meeting of 21 January 2020.</p>	AG
02.04.19	Once disciplinary procedures have concluded within the Waste Management Service, Audit Committee to be informed of the terms of reference and approach for delivering the associated Post Investigation Review.		
21.01.20	Director of PTE to provide details on the monitoring of particulate matter as part of the Clean Air Strategy.	Completed 23.01.20	
Work Programme			
10.09.19	The Chair to consider the Audit Committee work programme with regards to receiving ongoing assurance in respect of Brexit.	Ongoing	IA
AW Tracker/Other Studies			
12.11.19	Audit Manager to request that, subject to report and meeting timings, management responses accompany the presentation of AW assessments in future Committee meetings.	Noted and ongoing	CP
Outstanding Actions			
Scrutiny Letters			