

## Report Status (as at 30 June 2020)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
<b><i>Fundamental / High</i></b>				
Effective	People and Communities - Health and Safety			Draft Issued
	Creditor Payments – in-year testing 2019/20			
	Codes of Conduct			
	Governance and Legal Services – Commissioning & Procurement			
Effective with opportunity for improvement	Governance & Legal Services – Health and Safety			Drafts Issued
	Ethics and Values - Business and Personal Interests			
	NNDR in-year testing			
	Governance Arrangements			
	Economic Development – Health and Safety			
	Social Services – Health and Safety			
	PTE - Health and Safety			
	Health and Safety – Resources			
	Programme and Project Risk Management			
Insufficient with major improvement needed	Direct Payments	2		Drafts Issued
	Waste Management – Health and Safety	1		
	Education – Asset Management	1		
	Resources – Asset Management	1		
	Econ Dev - Asset Management	1		
	Social Services - Asset Management	1		
	PTE - Asset Management	1		
	Street Scene (Waste Management) - Asset Management	1		
<b><i>Medium</i></b>				
Effective	Counter-fraud arrangements - Willows			Draft Issued
	Major Projects Governance			
	Digital Services - Virtual Agent			
	Digital Services - Hybrid Mail			

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
Effective with opportunity for improvement	Ysgol Plasmawr – ICT Governance			
	Audit of Scrutiny Functions			
	Learning Disabilities			
	Kitchener Primary - Counter-fraud arrangements			
	Radyr Comprehensive School – Counter Fraud Arrangements			
	Income collection in schools			
	Pentyrch Primary School			
	Lamby Way stores - follow up			
	Safeguarding - follow up			
	Performance management			
	Foster Carers			
Insufficient with major improvement needed	IT inventory and asset management	1		Draft Issued
	Film Unit	1		
	Domiciliary Care	1		
	Fleet Management (pool cars, grey fleet, etc.)	1		
	Music Service - follow up	2		
<b>Grants / Accounts / External Bodies</b>				
No assurance opinion given	Norwegian Church	Statement of Accounts Reviews / Certification / Support		Draft Issued
	Education Improvement Grant			
	Rumourless Cities			
	Education - Income Processes			
	Joint Committees - Prosiect Gwyrdd			
	Joint Committees - Port Health Authority			
	Joint Committees - Glamorgan Archives			
<b>Other assignments</b>				
No assurance opinion given	New system checks - compliance, internal controls and counter-fraud	COVID-19 Consultancy		
	Resilience, continuity & control- Consultancy			