



County Hall
Cardiff
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AGENDA

Committee	AUDIT COMMITTEE
Date and Time of Meeting	TUESDAY, 21 JANUARY 2020, 2.00 PM
Venue	COMMITTEE ROOM 1 - COUNTY HALL
Membership	Independent Members: D. Hugh Thomas, Gavin McArthur and David Price <i>Ian Arundale (in a period of approved leave of absence)</i> Councillors Cunnah, Howells, Lister, McKerlich, Singh and Williams

*Time
approx.*

1 Apologies for Absence

To receive apologies for absence.

2 Declarations of Interest

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

3 Appointment of Chairperson and Deputy

To appoint a Chairperson and Deputy Chairperson for the Audit Committee for the remainder of the Municipal Year 2019/20.

4 Minutes (Pages 5 - 12)

To approve as a correct record the minutes of the meeting held on 12 November 2019.

5 Operational Matters

- | | | |
|------------|---|---------|
| 5.1 | Andrew Gregory - Update on Internal Control Environment (& Coastal Risk Management) (Pages 13 - 40) | 2.05 pm |
| 5.2 | Christopher Lee - Update on Internal Control Environment (& Health & Safety Governance) (Pages 41 - 54) | 2.30 pm |

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- 6 Finance**
- 6.1 Financial Update including Resilience Issues - Verbal Update 2.55 pm
- 7 Governance and Risk Management** 3.10 pm
- 7.1 Local Government and Elections (Wales) Bill - Audit Committee Summary (*Pages 55 - 68*)
- 7.2 Audit Committee Annual Report Discussion 2019/20 (*Pages 69 - 72*)
- 7.3 Senior Management Assurance Statement and AGS Action Plan 2019/20 (Mid-Year) (*Pages 73 - 78*)
- 8 Wales Audit Office** 3.40 pm
- 8.1 WAO Activity/Report Progress Update (*Pages 79 - 84*)
- 9 Treasury Management** 3.50 pm
- 9.1 Performance Report (*Pages 85 - 92*)
- 9.2 Draft Strategy 2020/21 (*Pages 93 - 138*)
- 10 Internal Audit** 4.10 pm
- 10.1 Audit Team Progress Update 2019/20 (*Pages 139 - 204*)
- 10.2 Investigation Team Progress Update 2019/20 (*Pages 205 - 218*)
- 10.3 Draft Audit Charter and Draft Audit Plan 2020/21 (*Pages 219 - 246*)
- 11 Scrutiny Correspondence**
- 12 Outstanding Actions** (*Pages 247 - 248*)
- 13 Work Programme Update** (*Pages 249 - 250*)
- 14 Urgent Items (if any)**
- 15 Date of next meeting - 24 March 2020**

Davina Fiore
Director Governance & Legal Services
Date: Wednesday, 15 January 2020
Contact: Graham Porter,
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